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SP03-132

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric J. Mozdy, et al

Serial No: 10/676352

Filed: September 30, 2003

For: DOUBLE RESONANCE  
INTERROGATION OF GRATING-  
COUPLED WAVEGUIDES

Examiner: TBA

Group Art Unit: 2878

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Mail Stop Amendment  
Commissioner of Patents  
Alexandria, VA 22313-1450


Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of any non-US patent is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

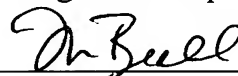
  
Thomas R. Beall  
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Corning, NY 14831  
607-974-3921  
Date: March 10, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 10, 2005

Date of Deposit

Thomas R. Beall

Name of applicant, assignee, or  
Registered Representative



Signature

March 10, 2005

Date of Signature

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

SP03-132

10/676352

APPLICANT

Eric J. Mozdy, et al.

FILING DATE

September 30, 2003

GROUP:

2878



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| Examiner Initial |    | Document Number | Date     | Name                 | Class | Sub-Class | Filing Date if Approp. |
|------------------|----|-----------------|----------|----------------------|-------|-----------|------------------------|
|                  | AA | 2002/0127565    | 09-12-02 | B. Cunningham et al. | 435   | 6         |                        |
|                  | AB | 2002/0168295    | 11-14-02 | B. Cunningham et al. | 422   | 82.05     |                        |
|                  | AC | 2003/0017580    | 01-23-03 | B. Cunningham et al. | 435   | 287.2     |                        |
|                  | AD | 2003/0017581    | 01-23-03 | Peter Li et al.      | 435   | 287.2     |                        |
|                  | AE | 2003/0026891    | 02-06-03 | Jean Qiu et al.      | 427   | 58        |                        |
|                  | AF | 2003/0027327    | 02-06-03 | B. Cunningham et al. | 435   | 287.2     |                        |
|                  | AG | 2003/0027328    | 02-06-03 | B. Cunningham et al. | 435   | 287.2     |                        |
|                  | AH | 2003/0032039    | 02-13-03 | B. Cunningham et al. | 435   | 6         |                        |
|                  | AI | 2003/0059855    | 03-27-03 | B. Cunningham et al. | 435   | 7.9       |                        |
|                  | AJ | 2003/0068657    | 04-10-03 | Bo Lin et al.        | 435   | 7.9       |                        |
|                  | AK | 2003/0077660    | 04-24-03 | Homer Pien et al.    | 435   | 7.1       |                        |

## FOREIGN PATENT DOCUMENTS

|  |    | Document Number | Date | Country | Class | Sub-Class | Translation Yes | No |
|--|----|-----------------|------|---------|-------|-----------|-----------------|----|
|  | AL |                 |      |         |       |           |                 |    |
|  | AM |                 |      |         |       |           |                 |    |
|  | AN |                 |      |         |       |           |                 |    |
|  | AO |                 |      |         |       |           |                 |    |
|  | AP |                 |      |         |       |           |                 |    |
|  | AQ |                 |      |         |       |           |                 |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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|  | AR |  |
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|  | AW |  |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|   |                                    |            |
|---|------------------------------------|------------|
| FORM PTO-1449 (MODIFIED)<br><br>LIST OF PATENTS AND<br>PUBLICATIONS<br>FOR APPLICANTS INFORMATION<br>DISCLOSURE STATEMENT | ATTORNEY DOCKET NO.                | SERIAL NO. |
|   | SP03-132                           | 10/676352  |
|   | APPLICANT<br>Eric J. Mozdy, et al. |            |
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|-----------------------|----|-----------------|----------|-----------------------|-------|-----------|------------------------|
| Examiner Initial      |    | Document Number | Date     | Name                  | Class | Sub-Class | Filing Date if Approp. |
|                       | AA | 2003/0092075    | 05-15-03 | Jane W. Pepper        | 435   | 7.9       |                        |
|                       | AB | 2003/0113766    | 06-19-03 | Jane W. Pepper et al. | 435   | 6         |                        |
|                       | AC | 2004/0132172    | 07-08-04 | B. Cunningham et al.  | 435   | 287.2     |                        |
|                       | AD | 2004/0132214    | 07-08-04 | Bo Lin et al.         | 436   | 518       |                        |
|                       | AE | 2004/0151626    | 08-05-04 | B. Cunningham et al.  | 422   | 58        |                        |
|                       | AF | 2004/0223881    | 11-11-04 | B. Cunningham et al.  | 422   | 82.05     |                        |
|                       | AG |                 |          |                       |       |           |                        |
|                       | AH |                 |          |                       |       |           |                        |
|                       | AI |                 |          |                       |       |           |                        |
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